

**U.S. Department of Labor**  
Occupational Safety and Health Administration  
950 22nd Street North  
Suite 1050  
Birmingham, AL 35203  
Phone: (205)731-1534 FAX: (205)731-0504



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## Citation and Notification of Penalty

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**To:**  
Stella-Jones Corporation  
and its successors  
1641 Alabama Highway 160  
Warrior, AL 35180

**Inspection Number:** 315969071  
**Inspection Date(s):** 09/12/2011-03/07/2012  
**Issuance Date:** 03/08/2012

**Inspection Site:**  
1641 Alabama Highway 160  
Warrior, AL 35180

*The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.*

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This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

**Posting** - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or , if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer. **The penalty dollar amounts need not be posted and may be marked out or covered up prior to posting.**

**Informal Conference** - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period. During such an informal conference you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal

conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the page 3 Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

**Right to Contest** - You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.

**Penalty Payment** - Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to "DOL-OSHA". Please indicate the Inspection Number on the remittance.

OSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

**Notification of Corrective Action** - For each violation which you do not contest, you are required by 29 CFR 1903.19 to submit an Abatement Certification to the Area Director of the OSHA office issuing the citation and identified above. The certification must be sent by you within 10 calendar days of the abatement date indicated on the citation. For **Willful** and **Repeat** violations, documents (examples: photos, copies of receipts, training records, etc.) demonstrating that abatement is complete must accompany the certification. Where the citation is classified as **Serious** and the citations states that abatement documentation is required, documents such as those described above are required to be submitted along with the abatement certificate. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item.

**All abatement verification documents must contain the following information:** 1) Your name and address; 2) the inspection number (found on the front page); 3) the citation and citation item number(s) to which the submission relates; 4) a statement that the information is accurate; 5) the signature of the employer or employer's authorized representative; 6) the date the hazard was corrected; 7) a brief statement of how the hazard was corrected; and 8) a statement that affected employees and their representatives have been informed of the abatement.

The law also requires a copy of all abatement verification documents, required by 29 CFR 1903.19 to be sent to OSHA, also be posted at the location where the violation appeared and the corrective action took place.

**Employer Discrimination Unlawful** - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been

discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

**Employer Rights and Responsibilities** - The enclosed booklet (OSHA 3000) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

**Notice to Employees** - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the U.S. Department of Labor Area Office at the address shown above and postmarked within 15 working days (excluding weekends and Federal holidays) of the receipt by the employer of this Citation and Notification of Penalty.

**Inspection Activity Data** - You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation, but not sooner than 30 calendar days after the Citation Issuance Date. You are encouraged to review the information concerning your establishment at [WWW.OSHA.GOV](http://WWW.OSHA.GOV). If you have any dispute with the accuracy of the information displayed, please contact this office.



## NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 03/08/2012. The conference will be held at the OSHA office located at 950 22nd Street North, Suite 1050, Birmingham, AL, 35203 on \_\_\_\_\_ at \_\_\_\_\_. Employees and/or representatives of employees have a right to attend an informal conference.

U.S. Department of Labor  
Occupational Safety and Health Administration

Company Name: Stella-Jones Corporation  
Inspection #: 315969071

### NOTICE OF CORRECTIVE ACTION

CORRECTIVE ACTION TAKEN FOR EACH STANDARD VIOLATED SHOULD BE SUBMITTED TO THIS OFFICE ON OR BEFORE THE DATE BY WHICH VIOLATION(S) MUST BE ABATED AS INDICATED IN THE "CITATION AND NOTIFICATION OF PENALTY".

THIS INFORMATION MAY BE WRITTEN BELOW, ON THE REVERSE SIDE OR ON ATTACHED PAGES IF NECESSARY.

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<u>CITATION NO.</u>	<u>ITEM NO.</u>	<u>CORRECTIVE ACTION TAKEN</u>	<u>DATE COMPLETED</u>
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I attest that the information contained in this document is accurate.

Signature

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Typed or Printed Name



**Citation and Notification of Penalty**

**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 1 Item 1** Type of Violation: **Serious**

29 CFR 1910.22(c): Cover(s) and/or guardrail(s) were not provided to protect personnel from the hazards of open pits:

- (a) On or about 09/14/11 - Tie Sort, openings (17" X 12") in conveyor where employees could step into were not covered.

**Date By Which Violation Must be Abated:** 03/16/2012  
**Proposed Penalty:** \$ 5400.00

**Citation 1 Item 2** Type of Violation: **Serious**

29 CFR 1910.146(c)(2): The employer did not inform employees of permit spaces by posting danger signs or by any other equally effective means, of the existence and location of and the danger posed by the permit spaces:

- (a) On or about 09/13/11 - Facility, Permit Required Confined Spaces such as storage tanks, scrubber water tanks, vacuum fumes recovery tank, creosote treating tank, baghouse, and others, were not marked.

**Date By Which Violation Must be Abated:** 03/20/2012  
**Proposed Penalty:** \$ 4500.00



**Citation and Notification of Penalty**

Company Name: Stella-Jones Corporation  
Inspection Site: 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 1 Item 3** Type of Violation: **Serious**

29 CFR 1910.146(k)(1)(i): An employer who designates rescue and emergency services, pursuant to paragraph (d)(9) of this section, did not evaluate a prospective rescuer's ability to respond to a rescue summons in a timely manner, considering the hazard(s) identified:

- (a) On or about 09/13/11 - Facility, employer relying on the local fire department for rescue and emergency services did not evaluate their ability to respond to a rescue.

Date By Which Violation Must be Abated: 03/20/2012  
Proposed Penalty: \$ 4500.00

**Citation 1 Item 4** Type of Violation: **Serious**

29 CFR 1910.147(c)(4)(i): Procedures were not developed, documented and utilized for the control of potentially hazardous energy when employees were engaged in activities covered by this section:

- (a) On or about 09/12/11 - Switch Tie, lockout/tagout procedures were not developed and utilized for the servicing of the Kerr-McGee pre-plate machine.

**Abatement Documentation Required**

Date By Which Violation Must be Abated: 03/20/2012  
Proposed Penalty: \$ 6300.00



**Citation and Notification of Penalty**

Company Name: Stella-Jones Corporation  
Inspection Site: 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 1 Item 5 Type of Violation: **Serious****

29 CFR 1910.147(c)(6)(i): The employer did not conduct a periodic inspection of the energy control procedure at least annually to ensure that the procedure and the requirement of this standard were being followed:

- (a) On or about 09/12/11 - Facility, periodic inspections of the energy control procedures were not conducted for machines such as, but not limited to, the Tie Sorters, Saws, Boilers, and Treating Cylinders.

Date By Which Violation Must be Abated: 04/11/2012  
Proposed Penalty: \$ 4500.00

**Citation 1 Item 6 Type of Violation: **Serious****

29 CFR 1910.212(a)(1): Machine guarding was not provided to protect operator(s) and other employees from hazard(s) created by rotating parts:

- (a) On or about 09/14/11 - Tie Sort, Incline Conveyor, the "dog chain" and gear were not guarded.

**Abatement Documentation Required**

Date By Which Violation Must be Abated: 03/16/2012  
Proposed Penalty: \$ 6300.00

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See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180

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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury resulting from an accident.

**Citation 1 Item 7a** Type of Violation: **Serious**

29 CFR 1910.219(c)(2)(i): Exposed part(s) of horizontal shafting were not protected by stationary casing(s) enclosing shafting completely or by trough(s) enclosing sides and top or sides and bottom of shafting:

- (a) On or about 09/14/11 - Tie Sort, rotating shaft for the scoring saw was not guarded.

**Date By Which Violation Must be Abated:** 03/16/2012  
**Proposed Penalty:** \$ 4500.00

**Citation 1 Item 7b** Type of Violation: **Serious**

29 CFR 1910.219(c)(4)(i): Unguarded projecting shaft end(s) did not present a smooth edge and end, and projected more than one half the diameter of the shaft:

- (a) On or about 09/14/11 - Tie Sort, Score Saw, projecting shaft end was not reduced to less than half the diameter of the shaft.

**Date By Which Violation Must be Abated:** 03/16/2012



**Citation and Notification of Penalty**

Company Name: Stella-Jones Corporation  
Inspection Site: 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 1 Item 8** Type of Violation: **Serious**

29 CFR 1910.242 (b): Compressed air was used for cleaning purposes where it was not reduced to less than 30 p.s.i. and without effective chip guarding and personal protective equipment:

- (a) On or about 09/12/11 - Kerr-McGee Pre-Plate Boring Machine, compressed air used to clean up chips was not reduced to less than 30 psi.
- (b) On or about 09/12/11 - Saw Deck at Tie Sort area, compressed air used to clean themselves and saw parts was not reduced to less than 30 psi.

Date By Which Violation Must be Abated: 03/16/2012  
Proposed Penalty: \$ 3600.00

**Citation 1 Item 9** Type of Violation: **Serious**

29 CFR 1910.265(c)(4)(iv): Elevated platform(s), more than 6 feet from floor level, were not provided with both a standard railing and a toe board:

- (a) On or about 09/12/11 - Saw Deck, Tie Sort, platform was not provided with standard railing exposing employees to a 9-foot fall.

**Abatement Documentation Required**

Date By Which Violation Must be Abated: 03/16/2012  
Proposed Penalty: \$ 6300.00



**Citation and Notification of Penalty**

**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 1 Item 10 Type of Violation: **Serious****

29 CFR 1910.305(b)(2)(i): Pull boxes, junction boxes, and fittings were not provided with covers approved for the purpose:

- (a) On or about 09/20/11 - Switch Tie Office, a receptacle used for heater did not have a cover plate.

<b>Date By Which Violation Must be Abated:</b>	<b>03/16/2012</b>
<b>Proposed Penalty:</b>	<b>\$ 3600.00</b>

**Citation 1 Item 11 Type of Violation: **Serious****

29 CFR 1910.305(e)(1): Cabinets, cutout boxes, fittings, boxes, and panelboard enclosures in wet locations were not weatherproof:

- (a) On or about 9/14/11 - Treatment Plant, general purpose wiring, breaker panel boxes, disconnect switches, and receptacles were not approved for wet locations.

<b>Date By Which Violation Must be Abated:</b>	<b>03/16/2012</b>
<b>Proposed Penalty:</b>	<b>\$ 4500.00</b>



**Citation and Notification of Penalty**

**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 1 Item 12** Type of Violation:  **Serious**

29 CFR 1910.305(g)(2)(iii): Flexible cords were not connected to devices and fittings so that strain relief is provided which will prevent pull from being directly transmitted to joints or terminal screws:

- (a) On or about 09/13/11 - Treatment Plant near Effluent Tanks, cord used to power two fans did not have strain relief.
- (b) On or about 09/13/11 - Treatment Plant near Creosote Treating Retort, cord used for sump pump did not have strain relief.
- (c) On or about 09/13/11 - Kerr-McGee Pre-Plate Boring Machine, a cord on the side of the machine did not have strain relief.
- (d) On or about 09/13/11 - Switch Tie Office, the cord for the AC unit did not have strain relief.
- (e) On or about 09/13/11 - Incising machine, the broken conduit caused the inner wires to have no strain relief with damaged conductors.

<b>Date By Which Violation Must be Abated:</b>	<b>03/16/2012</b>
<b>Proposed Penalty:</b>	<b>\$ 3600.00</b>



**Citation and Notification of Penalty**

**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180

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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury resulting from an accident.

**Citation 2 Item 1a** Type of Violation: **Willful**

29 CFR 1910.212(a)(1): Machine guarding was not provided to protect operator(s) and other employees from hazard(s) created by rotating parts and moving parts:

- (a) On or about 09/12/11 - Switch Tie, Boring Machine, Kerr-McGee Pre-Plate Machine, guards were not installed to prevent access to the rotating and moving parts within the machine.

**Abatement Documentation Required**

**Date By Which Violation Must be Abated:** 03/16/2012  
**Proposed Penalty:** \$ 63000.00

**Citation 2 Item 1b** Type of Violation: **Willful**

29 CFR 1910.219(d)(1): Pulley(s) with part(s) seven feet or less from the floor or work platform were not guarded in accordance with the requirements specified at 29 CFR 1910.219(m) & (o):

- (a) On or about 09/12/11 - Switch Tie, Kerr-McGee Pre-Plate Boring Machine, drive pulleys were not guarded.

**Abatement Documentation Required**

**Date By Which Violation Must be Abated:** 03/16/2012

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See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 2 Item 1c Type of Violation: **Willful****

29 CFR 1910.219(e)(1)(i): Horizontal belts which had both runs seven feet or less from the floor level were not guarded with a guard that extended to at least fifteen inches above the belt:

- (a) On or about 09/12/11 - Switch Tie, Kerr-McGee Pre-Plate Boring Machine, drive belts were not guarded.

**Abatement Documentation Required**

**Date By Which Violation Must be Abated:** 03/16/2012



**Citation and Notification of Penalty**

Company Name: Stella-Jones Corporation  
Inspection Site: 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 3 Item 1** Type of Violation: **Other**

29 CFR 1910.37(b)(2): Each exit was not clearly visible and marked by a sign reading "EXIT":

- (a) On or about 09/13/11 - Treatment Plant, three doors designated as exits in the floor plan were not marked.

Date By Which Violation Must be Abated:	03/16/2012
Proposed Penalty:	\$ 0.00

**Citation 3 Item 2** Type of Violation: **Other**

29 CFR 1910.303(b)(7)(iv): There shall be no damaged parts that may adversely affect safe operation or mechanical strength of the equipment, such as parts that are broken, bent, cut, or deteriorated by corrosion, chemical action or overheating:

- (a) On or about 09/13/11 - Tie Sort Area, three cords used to power photo switches were damaged and not replaced.
- (b) On or about 09/13/11 - Tie Sort Area, Tie Incising Machine, cord supplying power to the upper motor was damaged and not replaced.

Date By Which Violation Must be Abated:	03/20/2012
Proposed Penalty:	\$ 0.00



**Citation and Notification of Penalty**

**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180

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**Citation 3 Item 3** Type of Violation: **Other**

29 CFR 1910.305(g)(1)(iv): Flexible cords and cables were used for purposes prohibited by subparagraphs (A) through (F) of this paragraph:

- (a) On or about 09/14/11 - Tie Sort Area, flexible cord was ran through the wall and was attached to the building.

Date By Which Violation Must be Abated:	03/16/2012
Proposed Penalty:	\$ 0.00

A handwritten signature in blue ink that reads "Roberto Sanchez".

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Roberto Sanchez  
Area Director

**U.S. Department of Labor**  
Occupational Safety and Health Administration  
950 22nd Street North, Suite 1050  
Birmingham, Alabama 35203  
Phone: (205) 731-1534 FAX: (205)731-0504



**INVOICE/  
DEBT COLLECTION NOTICE**

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**Company Name:** Stella-Jones Corporation  
**Inspection Site:** 1641 Alabama Highway 160, Warrior, AL 35180  
**Issuance Date:** 03/08/2012

**Summary of Penalties for Inspection Number 315969071**

<b>Citation 1, Serious</b>	<b>= \$ 57600.00</b>
<b>Citation 2, Willful</b>	<b>= \$ 63000.00</b>
<b>Citation 3, Other</b>	<b>= \$ 0.00</b>
<b>TOTAL PROPOSED PENALTIES</b>	<b>= \$ 120600.00</b>

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To avoid additional charges, please remit payment promptly to this Area Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to:

"DOL-OSHA". Please indicate OSHA's Inspection Number (indicated above) on the remittance.

OSHA does not agree to any restrictions or conditions put on any check or money order for less than the full amount due and will cash the check or money order as if these restrictions or conditions do not exist.

If a personal check is issued, it will be converted into an electronic fund transfer (EFT). This means that our bank will copy your check and use the account information on it to electronically debit your account for the amount of the check. The debit from your account will then usually occur within 24 hours and will be shown on your regular account statement. You will not receive your original check back. The bank will destroy your original check, but will keep a copy of it. If the EFT cannot be completed because of insufficient funds or closed account, the bank will attempt to make the transfer up to 2 times.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

**Interest.** Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is 1%. Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Citation and Notification of Penalty), unless you

file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

**Delinquent Charges.** A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

**Administrative Costs.** Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.

  
\_\_\_\_\_  
Roberto Sanchez  
Area Director

  
\_\_\_\_\_  
Date

Corrective action, taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Citation and Notification of Penalty.

If the hazards itemized on this citation(s) are not abated/corrected and a follow-up inspection is conducted, your establishment may receive a Failure to Abate Citation for the uncorrected hazards with subsequent additional monetary penalties of up to thirty (30) times the original penalty amount of the uncorrected hazards.

A work sheet has been provided to assist in providing the required abatement information. A completed copy of this work sheet should be posted at the worksite with the Citation(s).

